Perturbation theory of black holes

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Introduction

Perturbation analysis (analytical/numerical)

- GW emission from a particle (plunging into or orbiting) around a BH
- Stability problem

 - Unstable

 New branch of solutions
- Information about the geometry: Quasi-Normal Modes
- Insights into Uniqueness/non-uniqueness
- Attempt to find new, approximate solutions (by deforming an existing solution)

Two major issues when formulating perturbation theory

- Fixing gauge ambiguity
 - Imposing suitable gauge conditions

or

- constructing manifestly gauge-invariant variables

separating variables

Master equations

4D Static asymptotically flat vacuum case Regge-Wheeler 57 Zerilli 70 charge case Moncrief -- Stability Regge-Wheeler 57, Veshveshwara 70...

asymptotically AdS/dS case Cardoso-Lemos

-- set of decoupled *self-adjoint* ODEs

Stationary Rotating vacuum (Kerr) caseTeukolsky 72--- StabilityPress-Teukolsky 73--- Whihting 89

Talk by Ferrari

4D classification (Uniqueness)

• BH Thermodynamics: $\Delta M = \frac{1}{8\pi} \kappa \Delta A + \Omega_H \Delta J$

Stationary black holes obey equilibrium thermodynamic law (i.e. 1st law)

→ described by merely a small numbers of parameters (M, J, Q)

(Israel-Carter-Robinson-Mazur-Bunting-Chrusciel)

– vacuum rotating black hole spacetime \implies Kerr-metric

Physical implications

- If weak cosmic censorship (Penrose) holds, gravitational collapse always forms a black hole
- The Kerr-metric is stable (Press-Teukolsky 73, Whiting 89)

 \Rightarrow describes a possible final state of dynamics

The Kerr-metric describes—to a very good approximation—black holes, formed via gravitational collapse in our universe

Uniqueness + Stability + Cosmic Censorship

"In my entire scientific life ... the most shattering experience has been the realization that an exact solution of general relativity, discovered by the New Zealand mathematician Roy Kerr, provides the absolutely exact representation of untold numbers of massive black holes that populate the Universe"

Chandrasekhar

Classification Problem in Higher Dimensions

D>4 General Relativity
 No uniqueness like 4D GR



Many unstable black (rotating) objects

Stability results so far

- 4D Static: vacuum, charged, Λ Rotating: vacuum (Kerr) Λ(<0) (Kerr-AdS)

- D>4 Static: vacuum
 charged (D=5...11) w. Λ

- → stable
- ➔ stable
- ightarrow unstable ($\ell\Omega_H > 1$)
- → stable
- → can be unstable

Rotating:

➔ many unstable cases

Gregory-Laflamme instability Superradiant instability Perturbations in Higher Dimensional General Relativity

 Rotating BH case → Not separable in general (Talk by Godazgar) still a long way from having a complete perturbation theory

> Progress in some special cases cohomogeneity-one (odd-dim.) Kunduri-Lucietti –Reall 07, Murata-Soda 08 Kundt spacetimes (e.g. Near-horizon geom) Durkee-Reall 11

Numerical approach e.g. Talks by Shibata, Dias,

Static BH case → simpler and tractable:

-- can reduce to a set of decoupled ODEs

In this talk

-- focus on *Static* black holes in General Relativity

-- discuss Gauge-invariant formulation of linear perturbations (metric pert. Approach)

Master equations

Stability analysis

Generalizations

Open issues

See. e.g. latest review AI & Kodama 1103.6148

Background geometry

$$\mathcal{M}^D = \mathcal{N}^m \times \mathcal{K}^n \qquad ds^2 = g_{ab}(y)dy^a dy^b + r^2(y)d\sigma_n^2$$

$$g_{ab}(y)$$
 : m – dim spacetime metric $d\sigma_n^2 = \gamma_{ij}(z)dz^idz^j$: n – dim Einstein metric $R_{ij} = (n-1)K\gamma_{ij}$ e.g. n -sphere $K = \pm 1, 0$

This metric describe a fairly generic class of metrics

$$m = 1$$
 $y^a \rightarrow t$ FLRW universe $ds^2 = -dt^2 + r(t)^2 d\sigma_n^2$

m=2 $y^a
ightarrow (t,r)$ Static black hole $ds^2=-f(r)dt^2+rac{1}{f(r)}dr^2+r^2d\sigma_n^2$

 $m \ge 3$ $y^a \to (t, r, y)$ Black-brane $ds^2 = dy^2 - f(r)dt^2 + \frac{1}{f(r)}dr^2 + r^2 d\sigma_n^2$

m = 4 $y^a \rightarrow (t, r, \theta, \phi)$ Myers-Perry black hole (w/single rotation) $r \rightarrow r \cos \theta$

 $ds^2 = \langle\!\langle 4\text{-dim. Kerr type metric} \rangle\!\rangle + r^2 \cos^2 \theta d\sigma_n^2$

Cosmological perturbation theory

$$ds^2 = -dt^2 + r(t)^2 d\sigma_n^2$$
 : FLRW background metric

r(t) : scale factor $d\sigma_n^2 = \gamma_{ij}(z) dz^i dz^j$: homogeneous isotropic time-slice n = 3

Perturbations $\delta g_{\mu\nu}$ $\delta T_{\mu\nu}$ are decomposed into 3 types according to its tensorial behaviour on time-slice ($\mathcal{K}^n, \gamma_{ij}$)

Gauge-invariant formulation Bardeen 80 Kodama-Sasaki 84

Brane-world cosmology

• AdS - (Black Hole)-Bulk spacetime

$$ds_{2+n}^2 = -f(r)dt^2 + \frac{1}{f(r)}dr^2 + r^2 d\sigma_n^2$$

• Brane-world $f(r)\dot{t}^2 - \frac{1}{f(r)}\dot{r}^2 = 1$

$$ds_{1+n}^2 = -d\tau^2 + r^2(\tau)d\sigma_n^2$$



Bulk perturbations induce brane-world cosmological perturbations --- need to develop a formula for AdS-Black Hole perturbations --- convenient to decompose bulk perturbations into Tensor-, Vector-, Scalar-type wrt $d\sigma_n^2 = \gamma_{ij}(z)dz^idz^j$

Kodama – AI – Seto '00

Background geometry

Static solutions of Einstein-Maxwell + cosmological constant in D = 2 + n

$$ds^{2} = -f(r)dt^{2} + \frac{1}{f(r)}dr^{2} + r^{2}d\sigma_{n}^{2}$$
$$f(r) = K - \frac{2M}{r^{n-1}} + \frac{Q^{2}}{r^{2(n-1)}} - \lambda r^{2}$$

- $K = \pm 1, 0$
- M ADM-mass
 - Q charge
 - $\lambda \propto \Lambda$ Cosmological constant

Basic strategy to derive master equations

(1) Mode-decompose $\delta g_{\mu
u}$ as



(2) Expand $\delta g_{\mu
u}$ by tensor harmonics \mathbb{T}_{ij} \mathbb{V}_i \mathbb{S} defined on \mathcal{K}^n

(3) Write the Einstein equations in terms of the expansion coefficients in 2-dim. spacetime \mathcal{N}^2 spanned by $y^a = (t, r)$

Decomposition theorem

(i) Any (dual) vector field on compact Riemaniann manifold can be uniquely decomposed as

$$v_i = V_i + \hat{D}_i S_i$$
 where $\hat{D}^i V_i = 0$.

(ii) Any 2^{nd} -rank symmetric tensor t_{ij} on compact Einstein manifold can be uniquely decomposed as

$$t_{ij} = t_{ij}^{(2)} + 2\hat{D}_{(i}t^{(1)}{}_{j)} + t_L\gamma_{ij} + \hat{L}_{ij}t_T$$
$$\hat{L}_{ij} := \hat{D}_i\hat{D}_j - \frac{1}{n}\gamma_{ij}\hat{\triangle},$$

Metric perturbations : decomposed as

$$h_{MN}dx^{M}dx^{N} = h_{ab}dy^{a}dy^{b} + 2h_{ai}dy^{a}dz^{i} + h_{ij}dz^{i}dz^{j}$$
$$h_{ai} = \hat{D}_{i}h_{a} + h_{ai}^{(1)},$$
$$h_{ij} = h_{T}^{(2)}{}_{ij} + 2\hat{D}_{(i}h_{T}^{(1)}{}_{j)} + h_{L}\gamma_{ij} + \hat{L}_{ij}h_{T}^{(0)}$$

Tensor-type perturbations

 $\delta g_{\mu\nu} = \left(\begin{array}{c|c} \mathbf{0} & \mathbf{0} \\ \\ \mathbf{0} & r^{(4-n)/2} \Phi(t,r) \ \mathbb{T}_{ij} \end{array} \right) \left[\begin{array}{c} y^a = (t,r) \\ z^i \end{array} \right]$

• \mathbb{T}_{ij} : Transverse-Traceless harmonic tensor on \mathcal{K}^n

$$(\hat{\bigtriangleup}_n + k_T^2)\mathbb{T}_{ij} = 0 \qquad \mathbb{T}^i{}_i = 0, \quad \hat{D}_j\mathbb{T}^j{}_i = 0$$

•
$$\Phi(t,r)$$
 is a gauge-invariant variable

• Einstein's equations reduce to Master equation \mathcal{N}^2

$$\left(\Box - \frac{V_T}{f}\right)\Phi = 0$$

$$V_T \equiv \frac{f}{r^2} \left[\frac{n(n+2)}{4} f + \frac{n(n+1)M}{r^{n-1}} + k_T^2 - (n-2)K \right]$$

Vector-type perturbations

$$\delta g_{\mu\nu} = \left(\begin{array}{c|c} \mathbf{0} & h_a(t,r) \mathbb{V}_i \\ \mathbf{*} & H(t,r) D_{(i} \mathbb{V}_{j)} \end{array} \right) \left. \begin{array}{c} \mathbf{y}^a = (t,r) \\ \mathbf{z}^i \end{array} \right.$$

• \mathbb{V}_i : Div.-free vector harmonics on \mathcal{K}^n : $(\hat{\bigtriangleup}_n + k_V^2)\mathbb{V}_i = 0$, $\hat{D}_i\mathbb{V}^i = 0$

- Gauge-invariant variable: $F^a := r^{n-2}h^a \frac{r^n}{2}D^a\left(\frac{H}{r^2}\right)$
- Einstein's equations reduce to $\begin{bmatrix} D_a F^a = 0 & \cdots & (1) \\ \Box F^a + \cdots = 0 & \cdots & (2) \end{bmatrix}$



(2) Einstein's equation reduces to Master equation

$$\left(\Box - \frac{V_V}{f}\right)\Phi = 0 \qquad V_V \equiv \frac{f}{r^2} \left[k_V^2 - (n-1)K + \frac{n(n+2)}{4}f - \frac{n}{2}r\frac{df}{dr}\right]$$

-- corresponds to the Regge-Wheeler equation in 4D

Scalar-type perturbations

- Expand $\delta g_{\mu
 u}$ by scalar harmonics \mathbb{S} on \mathcal{K}^n : $(\hat{ riangle}_n + k_S^2)\mathbb{S} = 0$ •
- Construct gauge-invariant variables: X, Y, Z on \mathcal{N}^2
- After Fourier transf. wrt 't' Einstein's equations reduce to
 - Set of 1st –order ODEs for X, Y, Z
 A linear algebraic relation among them

--- such a system can be reduced to a single wave equation

• For a certain linear combination $\Phi(t,r)$ of X, Y, Z

Einstein's equations reduce to

$$\left(\Box - \frac{V_S}{f}\right)\Phi = 0$$

-- corresponds to the Zerilli equation in 4D

Thus, for each type of perturbations, we obtain a single master wave equation :

$$\frac{\partial^2}{\partial t^2} \Phi = \left(\frac{\partial^2}{\partial r_*^2} - V\right) \Phi$$

V : effective potential $r_* := \int \frac{dr}{f(r)}$

• Degrees of freedom:

Tensor harmonics
$$\mathbb{T}_{ij}$$
: $\frac{(n-2)(n+1)}{2}$ -- indpdt. components $(n \ge 3)$
Vector harmonics \mathbb{V}_i : $n-1$ *** $(n \ge 2)$
Scalar harmonics \mathbb{S} : 1 ***
Total $\underbrace{(n+2)(n-1)}_{2} = \frac{D(D-3)}{2}$ components

• 4*D* case:

Intertwining between vector (axial) and scalar (polar) perturbations

$$\Phi_S = p\Phi_V + q\Phi'_V$$
$$V_S, V_V = \pm f\frac{dF}{dr} + F^2 + cF$$

• *D*>4 case:

No such intertwining among Tensor-, Vector-, and Scalar-type perturbations

Stability analysis

• Master equation takes the form:

If "A" is a *positive* self-adjoint operator, the master equation does *not* admit "*unstable*" solutions

--- The black hole is stable

Boundary conditions

• Asymptotically flat case: $r_* \rightarrow - \begin{cases} \infty & \text{at infinity} \\ -\infty & \text{at Horizon} \end{cases}$



Show positivity of
$$A = -\frac{d^2}{dr_*^2} + V$$
 under the boundary condition
 $\Phi = 0$ at $-\begin{cases} \text{infinity} \\ \text{Horizon} & (\text{-- can be removed}) \end{cases}$

If stable wrt pert. w/ Dirichlet condition at Horizon, then stable wrt pert. on extended Schwarzschild (Kruskal) spacetime

Kay-Wald 87



Stability wrt Tensor-type

$$V_T \equiv \frac{f}{r^2} \left[\frac{n(n+2)}{4} f + \frac{n(n+1)M}{r^{n-1}} + k_T^2 - (n-2)K \right] > \mathbf{0}$$



Stability wrt Scalar-type



The potential is *NOT* positive definite in D > 4

Not obvious to see whether $A = -\frac{d^2}{dr_*^2} + V$ is positive or not ... ۲

...

Stability proof

• Define
$$D := \frac{d}{dr_*} + S$$
 w. some function $S(r)$
 $(\Phi, A\Phi) = -\Phi^* D\Phi|_{\text{bndry}} + \int dr_* |D\Phi|^2 + \tilde{V} |\Phi|^2$
where $\tilde{V} := V + \frac{dS}{dr_*} - S^2$

Boundary terms vanish under our Dirichlet conditions $\Phi = 0$

Task: Find
$$S(r)$$
 that makes \tilde{V} positive definite

Then, A is uniquely extended to be a positive self-adjoint operator

		Tensor		Vector		Scalar	
		Q = 0	$Q \neq 0$	Q = 0	$Q \neq 0$	Q = 0	$Q \neq 0$
K = 1	$\lambda = 0$	OK	OK	OK	OK	OK	$D = 4,5 \text{ OK}$ $D \ge 6 ?$
	$\lambda > 0$	OK	OK	OK	OK	$D \le 6 \text{ OK}$ $D \ge 7 ?$	$D = 4,5 \text{ OK}$ $D \ge 6 ?$
	$\lambda < 0$	OK	OK	OK	OK	$D = 4 \text{ OK}$ $D \ge 5 ?$	$D = 4 \text{ OK}$ $D \ge 5 ?$
K = 0	$\lambda < 0$	OK	OK	OK	OK	$D = 4 \text{ OK}$ $D \ge 5 ?$	$D = 4 \text{ OK}$ $D \ge 5 ?$
K = -1	$\lambda < 0$	OK	OK	OK	OK	$D = 4 \text{ OK}$ $D \ge 5 ?$	$D = 4 \text{ OK}$ $D \ge 5 ?$

"OK" → "Stable"

WRT Tensor- and Vector-perturbations

Stable over entire parameter range

WRT Scalar-perturbations \rightarrow ??? when $Q \neq 0$ $\Lambda \neq 0$

Numerical study

Konoplya-Zhidenko 07 09

- Schwarzschild-de Sitter in $D = 5, ..., 11 \rightarrow \text{stable}$
- Charged (RN) de Sitter can be unstable in $D \ge 7$ when Q and Λ large enough

Potential for Scalar-type pert. w. non-vanishing Q , Λ



For extremal and near-extremal case, the potential becomes *negative* in the *immediate vicinity* of the horizon

Not fully studied yet

Extremal BHs

- The effective potential has a negative ditch near the horizon
- Numerical (QNMs) study indicates instability



What boundary conditions are appropriate at near-horizon throat?

Some generalizations and open problems

Static black holes in Lovelock theory

Higher curvature terms involved

$$L = \sum_{n=0}^{k} c_m \mathcal{L}_m \qquad \mathcal{L}_m = \frac{1}{2^m} \delta_{\rho_1 \kappa_1 \cdots \rho_m \kappa_m}^{\lambda_1 \sigma_1 \cdots \lambda_m \sigma_m} R_{\lambda_1 \sigma_1}^{\rho_1 \kappa_1} \cdots R_{\lambda_m \sigma_m}^{\rho_m \kappa_m}$$

Equations of motion contain only up to 2^{nd} -order derivatives

$$ds^{2} = -f(r)dt^{2} + \frac{1}{f(r)}dr^{2} + r^{2}d\sigma_{n}^{2}$$
$$f(r) = K - X(r)r^{2}$$

- Master equations in generic Lovelock theory Takahashi Soda 10 in Gauss-Bonnet theory Dotti – Gleiser 05
- Asymptotically flat, small mass BHs are unstable wrt Tensor-type perturbations (in even-dim.)
 Scalar-type perturbations (in odd-dim.)
- Instability is stronger in higher multipoles rather than low-multipoles

$$(\Phi, A\Phi) = \int dr_* |D\Phi|^2 + \ell(\ell+n-1) \int dr_* N(r) |\Phi|^2$$

If $N(r) < 0$, then $(\Phi, A\Phi) < 0$ for sufficiently large ℓ

c. f. Cohomogeneity-1 Myers-Perry BHs $D = \text{odd}, J_1 = J_2 = \cdots J_{[(D-1)/2]}$

(not in the class of metrics of $ds^2 = g_{ab}(y)dy^a dy^b + r^2(y)d\sigma_n^2$

enhanced symmetry: $\mathbb{R} \times U((D-1)/2)$

Perturbation equations reduce to ODEs

Kunduri-Lucietti – Reall 07, Murata-Soda 08

Talk by Dias

Rotating case: Cohomogeneity-2 Myers-Perry BHs \mathcal{N}^4 \mathcal{K}^n m = 4 $ds^2 = \langle\!\langle 4\text{-dim. Kerr type metric} \rangle\!\rangle + r^2 \cos^2 \theta d\sigma_n^2$ symmetry enhance $U(1)^N \Rightarrow U(1) \times SO(D-3)$

Numerical approach to stability analysis Talks by Shibata, Dias

--- include the *ultra-spinning* case





How about vector-type and scalar-type perturbations?

KK-reduction along $\mathcal{K}^n \rightarrow$ Equations for massive vector/tensor fields on \mathcal{N}^4 : 4-dim. Kerr –type spacetime

Not known how to (analytically) deal with even in the standard 4-dim. Kerr background

For vector-type: \rightarrow 3 master scalar variables on \mathcal{N}^4 For scalar-type: \rightarrow 6 master scalar variables on \mathcal{N}^4 ($n \geq 3$)

Metric perturbation approach in D = m + n

$$\mathcal{N}^{m} \qquad \mathcal{K}^{n}$$

$$ds^{2} = g_{ab}(y)dy^{a}dy^{b} + r^{2}(y)d\sigma_{n}^{2}$$

- Tensor-type: \rightarrow 1master scalar variable $(n \ge 3)$ Vector-type: \rightarrow m-1gauge-invariant scalar variables $(n \ge 2)$ Scalar-type: \rightarrow $\frac{m(m-1)}{2}$ gauge-invariant scalar variables
 - --- intricately coupled on \mathcal{N}^m

 $F_{ab}\,,\,F$: Gauge-invariant variables in \mathcal{N}^m

$$-\Box F_{ab} + D_a D_c F_b^c + D_b D_c F_a^c + n \frac{D^c r}{r} (-D_c F_{ab} + D_a F_{cb} + D_b F_{ca}) + {}^m R_a^c F_{cb} + {}^m R_b^c F_{ca} - 2 {}^m R_{acbd} F^{cd}$$

$$+\left(\frac{k^{2}}{r^{2}}-\overline{R}+2\Lambda\right)F_{ab}-D_{a}D_{b}F_{c}^{c}-2n\left(D_{a}D_{b}F+\frac{1}{r}D_{a}rD_{b}F+\frac{1}{r}D_{b}rD_{a}F\right)-\left[D_{c}D_{d}F^{cd}+\frac{2n}{r}D^{c}rD^{d}F_{cd}+\frac{2n}{r}D^{c}r$$

$$+\left(-{}^{m}R^{cd}+\frac{2n}{r}D^{c}D^{d}r+\frac{n(n-1)}{r^{2}}D^{c}rD^{d}r\right)F_{cd}-2n\Box F-\frac{2n(n+1)}{r}Dr\cdot DF$$

$$+2(n-1)\frac{k^{2}-nK}{r^{2}}F-\Box F_{c}^{c}-\frac{n}{r}Dr\cdot DF_{c}^{c}+\frac{k^{2}}{r^{2}}F_{c}^{c}\Big]g_{ab}=2\kappa^{2}\Sigma_{ab},$$

$$\frac{k}{r}\Big[-\frac{1}{r^{n-2}}D_{b}(r^{n-2}F_{a}^{b})+rD_{a}\Big(\frac{1}{r}F_{b}^{b}\Big)+2(n-1)D_{a}F\Big]=2\kappa^{2}\Sigma_{a}$$

$$-\frac{1}{2}D_{a}D_{b}F^{ab} - \frac{n-1}{r}D^{a}rD^{b}F_{ab} + \left(\frac{1}{2}{}^{m}R^{ab} - \frac{(n-1)(n-2)}{2r^{2}}D^{a}rD^{b}r - (n-1)\frac{D^{a}D^{b}r}{r}\right)F_{ab}$$

$$+\frac{1}{2}\Box F_{c}^{c}+\frac{n-1}{2r}Dr \cdot DF_{c}^{c}-\frac{n-1}{2n}\frac{k^{2}}{r^{2}}F_{c}^{c}+(n-1)\Box F+\frac{n(n-1)}{r}Dr \cdot DF$$

$$-\frac{(n-1)(n-2)}{n}\frac{k^2-nK}{r^2}F = \kappa^2 \Sigma, \\ -\frac{k^2}{2r^2}[2(n-2)F + F_a^a] = \kappa^2 \tau_T$$

Perturbation analysis and Classification problem

In 4D classification: Uniqueness + Stability of the Kerr metric
 important (astro-)physical implications

Uniqueness Theorem was established by assembling Topology results, Symmetry (Rigidity) results, etc. and all comp. of the Einstein equations *all together*

• How about *D*>4 classification?

Role of symmetry in Stability problem

• Stability of an extremal black hole and its nearhorizon geometry

Examine perturbations of the near-horizon geometry that respect the symmetry of the full BH solution Durkee - Reall 11

• Symmetric perturbation at Topology changing point

c.f. critical behavior and critical exponent



Summary: linear perturbation

- Static BHs: Complete formulation for perturbations
- Rotating BHs:
 - -- Still a long way from having a complete formulation
 - -- Considerable progress recently made for some special cases
- Interesting:

Interplay between Symmetry /Topology properties and Perturbation analysis